19/564360 IAP15 Rec'd PCT/PTO 12 JAN 2006 New U.S. National Stage of PCT/EP2004/007344 Filed July 6, 2004

MARKED-UP COPY OF SUBSTITUTE SPECIFICATION

WO-2005/007210-PCT/EP2004/007344

DEGRADABLE BIOCOMPATIBLE BLOCK COPOLYMER

BACKGROUND [0001] The invention relates to a biocompatible block copolymer comprising the polycondensation product of a diol and of a further component 5 selected from the group of the same diol, an α,ω -dihydroxypolyester or an α,ω-dihydroxypolyether. The invention additionally relates, besides the conventional applications of polyurethanes, to a medical implant comprising the block copolymer, to the use of the block copolymer for producing a medical implant, and to a diol and the process for preparing the same. Wherever the term 10 medicine is used, both human and veterinary medicine is meant thereby. [0002] The number of biocompatible polymers employed in practice for medical implants is surprisingly small. This is attributable, apart from the problem of compatibility, firstly to the great technical requirements in relation to 15 mechanical strength, sterilizability, biodegradability and secondly to the large number of different administrative regulations in individual countries. The biodegradability of such a polymer in particular poses exacting requirements because the desired rate of degradability depends greatly on the use. 20 [0003] EP 0 196 486 discloses a biocompatible block copolymer which that can be used as medical implant. This block copolymer has a crystalline and an amorphous component. The degradability of these block copolymers is, however, not fast enough for all applications. **SUMMARY** 25 [0004] It is an object of the present invention to provide a novel polymer with faster degradability and negligibly altered biological properties. [0005] An additional object of the present invention is to provide a polymer which is readily degradable outside the body.

component alone or both components together.

high rate of degradability in the body, whereas dilactide and caprolactone units

have no influence thereon.

_____[0015] Preferred catalysts are transesterification catalysts in particular
 based on tin, e.g. dibutyltin dilaureate.dilaurate. The diol preferably has a molecular weight of from 500 to 10 000 daltons. The diol (1) preferably has a total glycolide content of up to 40 mol%, particularly preferably up to 30 mol%. A preferred diol of exemplary embodiments of the invention is a, a dihydroxy[oligo(3 - R - hydroxybutyrate) stat glycolide)ethyleneoligo(3R)
 hydroxybutyrate stat glycolide)a, a dihydroxy[oligo(3 - (R) - hydroxybutyrate) - stat-

10

15

20

25

30

<u>glycolide</u>) ethyleneoligo(3-(R)-hydroxybutyrate-stat-glycolide) or the corresponding stat-lactide or stat-caprolactate compounds if dilactide or caprolactone is used instead of diglycolide.

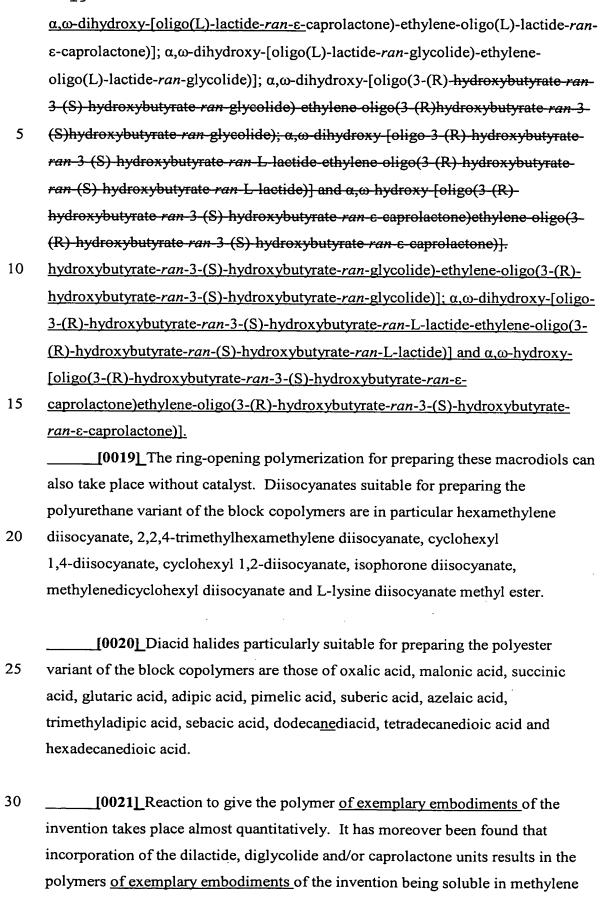
5 _____[0016]_An α,ω-dihydroxypolyester can be obtained for example by transesterification of poly[(R)-(3)-hydroxybutyric acid] or its copolymers with 3-hydroxyvaleric acid with ethylene glycol.

I0017] Further suitable α , ω -dihydroxypolyesters are oligomers of α -, β -, γ - and ω -hydroxy carboxylic acids and their cooligomers which are obtained by ring-opening polymerization of cyclic esters or lactones. Preferred cyclic esters of this type are (L,L)-dilactide, (D,D)-dilactide, (D,L)-dilactide, diglycolide or the preferred lactones such as β -(R)-butyrolactone, β -(S)-butyrolactone, β -rac-butyrolactone and ε -caprolactone or mixtures thereof. The ring opening takes place with aliphatic diols such as ethylene glycol or longer-chain diols. The molecular weight of the resulting macrodiol is determined by the stoichiometrically employed amount of these diols.

______[0018] The ring-opening polymerization of the cyclic esters or lactones preferably takes place without diluent in the presence of a catalyst, for example SnO(Bu)₂ at 100°C to 160°C. The resulting macrodiols have molecular weights of about 300-10 000 daltons. The macrodiols prepared from mixtures of cyclic esters or lactones have a microstructure which depends on the amount of catalyst and which is statistical or alternating in the distribution of the monomeric components between block form. The distributions statistics have an influence on the physical properties. Examples of such esters which are obtained by ring-opening polymerization of cyclic esters and lactones in the presence of a catalyst and which can be used to prepare the block copolymers are α,ω-dihydroxy-[poly(L-lactide)-ethylene-poly(L-lactide)]; α,ω-dihydroxy-[oligo(3-(R)-hydroxybutyrate-ran-3-(S)-hydroxybutyrate)-ethylene-oligo(3-(R)-hydroxybutyrate-ran-3-(S)-hydroxybutyrate)]; hydroxybutyrate) ethylene-oligo(3-(R) hydroxybutyrate ran-3-

(S)-hydroxbuyrate)]; α,ω-dihydroxy-[oligo(glycolide-ran-ε-caprolactone)-ethylene-

oligo(glycolide-ran-ε-caprolactone)];α,ω-dihydroxy [oligo(L)-lactide-ran-ε-



chloride. It is thus possible to remove impurities by filtration. A cost-effective process with which the polymer of <u>exemplary embodiments of</u> the invention can be prepared with high purity is provided thereby.

[0022] A particularly preferred block copolymer is poly[poly[α,ω-dihydroxy-[oligo(3-(R)-hydroxybutyrate)-stat-glycolide)-ethylene-oligo-(3-(R)-hydroxybutyrate-stat-glycolide)]alt-2,2,4-trimethylhexamethylene
1,6-diisocyanate]]-co-poly[dihydroxy[oligo-glycolide-ran-ε-caprolactone)-ethylene-(oligo-glycolide-ran-ε-co-poly[dihydroxy[oligo-glycolide-ran-ε-caprolactone)]alt-2,2,4-trimethylenehexaethylene 1,6-isocyanate] of the formula

tone)]alt-2,2,4-trimethylhexamethylene 1,6-diisocyanate] of the formula

____[0023]_

15

 $\left[\left[\left(\frac{1}{2}\right)^{2}\right]^{2}\right]^{2}$ $\left[\left[\left(\frac{1}{2}\right)^{2}\right]^{2}\right]^{2}$ $\left[\left[\left(\frac{1}{2}\right)^{2}\right]^{2}\right]^{2}$

[0024] where a = 1 to 50, b = 1 to 10, p = 1 to 10, q = 1 to 50, r = 1 to 10, s = 1 to 50, t = 1 to 10, u = 1 to 50 and z = 1 to 50. Further preferred polymers are

diglycolide/dilactide/

identical to the abovementioned with the exception that the glycolide unit of the polymer is replaced by the corresponding lactide or caprolactone. [0025] The block copolymers and diols comprising glycolide units which 5 are particularly preferred are those degradable in five to six days within the human or animal body. Further preferred block copolymers and diols are those whose degradation takes place over months or years. The rate of degradation depends primarily on the number of diglycolide or glycolide units. On storage in a neutral buffer solution at 37°C, the molecular weight decreases with time as a function of 10 the glycolide content. The use of dilactide or caprolactone units does not change the rate of degradability of the polymers of exemplary embodiments of the invention in the body. [0026] Despite the relatively high diglycolide orglycolide/ 15 glycolide/lactide/caprolactone content, the block copolymer of exemplary embodiments of the invention forms phase-segregated crystalline domains in the solid polymer, which decisively determine the mechanical properties of the block copolymer of exemplary embodiments of the invention, such as, for example, the good strength, the brittleness, and the increased ultimate elongation and ultimate 20 tensile stress. [0027] The physical properties of such block copolymers are decisively controlled by the mass ratio of crystalline and amorphous polymer contents. A crystalline content of from 5 to 50% is preferred in this connection. The amount of 25 crystalline component, which has a decisive influence on the mechanical properties, can be chosen relatively freely due to the diol, because the rate of degradation can also be controlled by the diol. [0028] The block copolymers and diols of the invention have exceptionally 30 good solubility in organic solvents such as dioxane, chlorinated solvents, DMSO etc. and have the special advantage that their physical, chemical and biological properties can be adjusted within a wide range through the number of

5

10

15

20

25

30

<u>diglycolide/dilactide/caprolactone</u> units. The block copolymers and diols of <u>exemplary embodiments of</u> the invention can thus be adapted for specific uses in each case.

[0029] The block copolymers can be modified by copolymerization with further low molecular weight compounds. These copolymerized compounds have one or more functional groups. These functional groups may be protected or unprotected reactive groups, or groups which confer particular use properties on the diols. For example, these low molecular weight compounds may make it possible to use the block copolymers as X-ray contrast agents or in other diagnostic methods such as CT and MRI as agents for increasing contrast. If the functional groups are reactive groups, they make it possible for active substances to be covalently bonded to the block copolymer of exemplary embodiments of the invention. Examples of such active substances are diagnostics such as contrast agents, pharmaceutical active substances, peptides, proteins, etc. Particularly suitable low molecular weight comonomers are diatrizoic acid monoglyceryl ester; 10,11-monoglyceryl ester; 10,11-dihydroxyundecanoic acid; phenacyl 10,11dihydroxyundecanoate;dihydroxyundecanoic acid; phenacyl 10,11-dihydroxyundecanoate; 2,2-bis(hydroxymethyl)propionic acid; phenacyl bis(hydroxymethyl)propionate. The skilled worker knows how such active substances can be covalently bonded to the diol.

______[0030]_A further important property of the diol of exemplary embodiments of the invention or of the block copolymers are is their melt-processibility. They can generally be processed at temperatures between 80° to 200°, preferably between 100° and 150°. Processing can take place correspondingly by known methods by means of extrusion and blow or injection molding. Sheets can also be produced by compression. This melt-processibility entails the advantage for medical implants that the shape and size of the implant can be adapted. A further possibility is for surgical suture material made thereof to be welded appropriately, making it possible to dispense with complicated knotting.

	[0031] The implants may also be in the form of a tube. The tube may be
	rigid or flexible. The tubes may have circular, elliptical and polygonal cross
	sections, it also being possible to dispose a plurality of channels within one tube. It
	is possible with the implants of the invention to regenerate a functional vessel wall
5	or a nerve. It is possible by a coating with functional vessel cells to avoid a
	thrombotic occlusion on long-term use, i.e. the biocompatible polymer can in time
	be replaced by new endogenous cells. The implant material may have a porous
	structure for particular uses. It may also have a capsule shape to receive
	pharmaceutical active substances or diagnostics also in the form of particles.
10	
	[0032]_Some uses of the diols of exemplary embodiments of the invention
	and of the block copolymers in the medical sector are detailed below. Further uses
	are, of course, possible.
15	[0033] - Tubular structures (vessel substitute, trachea substitute, substitute
	for other biological tubular structures) in firm, coiled, flexible, expandable, self-
	expanding, braided and knitted form, which may in accordance with the biological
	and functional requirement have a physically and pharmacologically appropriate
	texture or coating on the inside or outside. The pharmacological substances are
20	retained either by absorption or covalent chemical bonding to the diol or to the
	block copolymer. The implant materials are likewise suitable for producing stents
	(rigid, expandable, self-expanding) for vessels or other biological tubular structures
	(esophagus, biliary tract, urinary tract).
	[0034] - Sheet-like structures (wound covering, membrane oxygenators,
25	corneal substitute bases etc.) can likewise be produced with the diol of exemplary
	embodiments of the invention or the block copolymer.
	[0035] - Thread-like structures as surgical suture material and for
	processing to woven, braided or knitted structures.
	[0036] - Clip-like or clamp-like structures for staplers or clamps for
30	ligating small blood vessels and utilizing the thermoplastic properties for
	occlusion.

	- 19
	[0046] The invention is illustrated further by means of examples below.
	These examples are intended to be illustrative, and the materials, conditions, and
	process parameters set forth in these exemplary embodiments are not limiting. All
	parts and percentages are by weight unless otherwise indicated.
5	
	Example EXAMPLES
	[0047] Example 1
	[0048] Preparation of α,ω-dihydroxy[oligo(3-(R)-hydroxybutyrate)-
	ethylene-oligo(3-(R)-hydroxybutyrate)] by transesterification of poly[(R)-3-
10	hydroxybutyrate] with ethylene glycol.
	ı
	[0049]_1055 g of poly[(R)-3-hydroxybutyrate]/BIOPOL (ICI) are dissolved
	in 3 L of diglyme at 140°C under N ₂ . Then, 246 g of ethylene glycol and 5.21 g of
	dibutyltin dilaurate (cat.)(catalyst) are added. After one hour, 1.5 g (125°C) and,
15	after a further 2.5 hours, again 1.2 g of catalyst is added. The degradation is
	followed continuously by GPC measurements and additional 0.6 g of catalyst is
	added at intervals of 1 hour (h) until the desired molecular weight of the
	degradation product is reached. The molecular weight is checked by GPC.gas
	phase chromatography (GPC). The degradation is stopped by precipitating the
20	polymer in 10 L of water.
	[0050] The degraded oligomer is filtered off and suspended in about 6 to
	7-17 L of distilled water a total of 5 times, and filtered off again after 20 h. 20 hours.
	After the last washing, the granular oligomer is sucked dry for one hour and then
25	dried in 2 large crystallizing dishes firstly in a drying oven at 50°C in vacuo. Then,
	the Thenoligomer is further dried under high vacuum (10-2 bar) in a drying oven at
	60°C for 30 hours.
	[0051] The dry oligomer is subsequently dissolved in methylene chloride to
30	result in a 30-35% solution. The slightly warmed solution is then filtered through a
	quartz sand bed on a glass filter funnel. The filtrate is purified by chromatography
	on a silica gel 60 column.

amount of n-hexane, filtered off and dried. [0058] Purification of dihydroxy[oligo-3-(R)-hydroxybutyrate-stat-5 glycolide)-ethylene-oligo-(3-(R)-hydroxybutyrate-stat-glycolide)]: if the ratio of 3-(R)-hydroxybutyrate units employed in the transesterification to glycolate units falls below a value of about 3, a slight turbidity develops in the reaction mixture towards the end of the transesterification and can be attributed to the production of insoluble oligoglycolides. The polymer can be purified from these parts, the 10 catalyst DBTLdibutyltin dilaurate and from diglycolide in the following way: [0059] 25 g of crude polymer are extracted with methanol in a SOXHLET with cooling jacket cooling to 18°C for 6 hours and then dried in vacuo. The polymer is then extracted with dry methylene chloride in the same cooled SOXHLET and precipitated with five times the amount of dry methanol and dried 15 in vacuo. [0060] Yield: 86% of crude polymer.

and 120°C for E8. After the reaction, the polymer was precipitated in 5 times the

Tab. 1 Reaction conditions

Sample	PHB-diol	Gly-	Addition	Addition	Addi-	Reac-	Diglyme
desig-	[g]	eolide	amount	amount	tion	tion	[ml]
nation		[g]	[g/h]	[%/h]	time [h]	time [h]	

[0061] Table 1: Reaction conditions.

Sample designation	PHB diol [g]	Glycolide [g]	Addition amount	Addition amount	Addition time [h]	Reaction time [h]	Diglyme [mL]
,			[g/h]	[%/h]			
E1	20.04	2.08	0.12	5.8	17.8	23.5	170
E2	20.04	2.08	0.17	8.2	12.0	12.0	170
E3	19.73	4.2	0.35	8.3	11.0	18.0	170
E4	20.07	6.66	0.36	5.4	18.5	18.5	170
E5	20.04	6.64	0.3	4.5	22.0	22.0	170
E6	100.02	33.75	1.02	3.0	33.0	44.0	340
E7	150.36	50.25	1.26	2.5	40.0	62.0	400
E8	20.8	5.4	0.34	6.8	16.0	33.5	200

[0062] Table 2: Time course of experiment 2Example 2.

Sample desig- nation	sar aft	me of npling er start eaction	Added amount of glycolide based on total [%]*		Maximum 3-(R) hydroxy- butyrate/ glycolate ratio in the polymer		3-(R)- hydroxy- butyrate/ glycolate ratio found in the polymer		Glycolate con- version [%]		Content of transesterified glycolide in blocks of 3 and more units [%]			
Sample		Time o	_	Added	_	Maximu	<u>m</u>	3-(R)-		Glyco	late	Conte		
designat	10	sampli	<u>n</u>	amount o	_	3-(R)-		hydroxy-	droxy- conve		rsio transe		sterifie	
<u>n</u>		g after		glycolide		hydroxy-		butyrate/		n [%]		d glyc	<u>colide</u>	
		start of		based on	_	butyrate/	glycolate		2			<u>in blo</u>	n blocks of	
1		reaction	<u>n</u>	total [%]	*	glycolate	2	ratio fou	<u>nd</u>			3 and	more	
						ratio in t	<u>he</u>	in the				units	[<u>%</u>]	
						polymer		polymer						
E-8.1		6.0		40		6.2:1		22:1		20	2	θ		
E8.1		<u>6.0</u>		<u>40</u>		<u>6.2:1</u>		22:1		20		20		
E-8.2		8.5		50		4.9 :1		10:1		49	2	3		
E8.2		<u>8.5</u>		<u>50</u>		<u>4.9:1</u>		<u>10:1</u>		<u>49</u>		<u>23</u>		
E-8.3		14.5		88		2.8:1		5.7:1		50	3	3		
E8.3		14.5		<u>88</u>		<u>2.8:1</u>		<u>5.7:1</u>		<u>50</u>		<u>33</u>		
E 8.4		16.0		100		2.5:1		4 :1		63	4	7		
E8.4		<u>16.0</u>		<u>100</u>		<u>2.5:1</u>		<u>4:1</u>		<u>63</u>		<u>47</u>		
E8.5		33.5				2.5:1		4:1		63		33		

Example 3

[0063] Example 3

[0064] Preparation of poly[poly[α, ω -dihydroxy[oligo-3-(R)-

hydroxybutyrate-stat-glycolide)-ethylene-oligo-(3-(R)-hydroxybutyrate-stat-

5

5

10

15

20

25

30

glycolide)]-alt-2,2,4-trimethylhexamethylene $\frac{1,6-\text{diisocyanate}]-\text{co-poly}[\alpha,\omega-\text{dihydroxy}]-\text{co-poly}[\alpha,\omega-\text{dihydroxy}]-\text{co-poly}[\alpha,\omega-\text{dihydroxy}]-\text{glycolide-} ran-\varepsilon-\text{caprolactone})$ -alt-2,2,4-glycolide-ran- ε -caprolactone)]-alt-2,2,4-glycolide-ran- ε -caprolactone)]-alt-2,2,4-trimethylhexamethylene 1,6-diisocyanate].

[0065] The polymerization was carried out in an oil-heated jacketed 1000 ml reactor 1000 mL reactor, which was equipped with a temperature sensor, capillary for nitrogen as protective gas and a reflux condenser on a dropping funnel with pressure equalization. The dropping funnel was packed with A4 molecular sieves. The reactor was charged with 400 ml of 1,2-dichloroethane and 31.3 g ofdihydroxy[oligo-3-(R)-hydroxybutyrate-stat-glycolide)-ethylenedihydroxy[oligo-3-(R)-hydroxybutyrate-stat-glycolide)-ethylene-oligo-(3-(R)hydroxybutyrate-stat-glycolide)], $M_n = 2440$, product from E7, and heated until the solvent had risen into the condenser and refluxed over the molecular sieves. Refluxing was continued until the solvent had dried to below 20 ppm. Then, 46.25 g of dihydroxy[oligo-glycolide-ran-e-caprolactone)-ethylene-(oligo-glycolide-ran- ε -caprolactone)-ethylene-(oligo-glycolide-ran- ε -caprolactone)] $M_n = 1320$ (3-(R)hydroxybutyrate/glycolate = 1:1) and 10.01 g of 2,2,4- and 1,4,4trimethylhexamethylene diisocyanate, mixture of isomers, were added. 100 µl of dibutyltin dilaurate were added as catalyst. The polymerization was carried out at 85°C for 5 days. During this reaction time, the reaction was followed by GPC and infrared spectroscopy. After the third reaction day, a further 5% by weight of the amorphous diol were added in several steps until the molecular weight remained unchanged and the isocyanate band in the IR had completely disappeared. The polymerization was stopped by precipitating the polymer in five times the amount of cold methanol. The polymer was filtered off and dried in vacuo.

Example 4

_____[0066] Example 4
_____[0067] Hydrolytic degradation of poly[poly[α,ω-dihydroxy[oligo-3-(R)-hydroxybutyrate-stat-glycolide)-ethylene-oligo-(3-(R)-hydroxybutyrate-stat-glycolide)]-alt-2,2,4-trimethylhexamethylene 1,6-diisocyanate]-co-poly[α,ω-1,6-

diisocyanate]]-co-poly[α,ω -dihydroxy[oligo-glycolide-ran- ε -caprolactone)ethylene-(oligo-glycolide-ran-ε-caprolactone)]-dihydroxy[oligo-glycolide-ran-εcaprolactone) ethylene (oligo glycolide ran c caprolactone)] alt 2,2,4 trimethylhexamethylenealt-2,2,4-trimethylhexamethylene 1,6-diisocyanatel 5 compared with the reference polymer poly[poly[α,ω -dihydroxy[oligo-3-(R)hydroxybutyrate]-ethylene-oligo-(3-(R)-hydroxybutyrate]-alt-2,2,4trimethylhexamethylene 1,6-diisocyanate]]-co-poly[α,ω-dihydroxy[oligo-glycolideran-ε-caprolactone)-ethylene-(oligo-glycolide-ran-hydroxybutyrate)-ethyleneoligo (3 (R) hydroxybutyrate]-alt-2,2,4-trimethylhexamethylene-1,6-diisocyanate]-10 co-poly[α,ω-dihydroxy[oligo-glycolide-ran ε-caprolactone) ethylene (oligoglycolide ran-c-caprolactone)]-alt-2,2,4-trimethylhexamethylene 1,6diisocyanate]ε-caprolactone)]-alt-2,2,4-trimethylhexamethylene 1,6-diisocyanate]. [0068] Glycolide/ε-caprolactone = 1/1 molar; PHB/glycolide diol from experimentExample 1. 15 [0069] The influence of the glycolide-modified PHB diol on the rate of degradation was determined in relation to a structurally analogous polymer with unmodified PHB diol. The degradation experiments were carried out on the crude polymer in powder form and on polymer samples, which were previously 20 processed to films and open-cell foams (pore size about 50-300 µm). [0070] foam samples and 3 powder samples plus 20 film samples were made in each case from the polymer of example Example 2 and the reference polymer. The initial weights were between 0.1 and 1 g. The samples were stored in 40 ml of distilled water in closable plastic vessels at 37°C over a period of up to 25 88 days. 88 days (d). To prevent the growth of algae, 40 mg of sodium azide were put in each sample. To determine the molecular mass, a small amount of material, in each case foam and powder, was taken alternately from the three flasks at intervals of from one day to three weeks and dried in a vacuum apparatus at room temperature, and the molecular mass was determined by GPC. For the tensile tests, 30 in each case 5 sheets were removed and dried in a vacuum apparatus at room temperature. The film samples were characterized by stress/elongation

measurements. In each case, 5 films and foam and powder samples of the initial

- 19--

products were tested at the start of the degradation experiment (figure 1).(Figures 1 and 2).

Table 3: Decrease in the molecular mass of foam
and powder with exponential function as trend line

Sample designation	Half-life [d]	
Polymer foam	8.9	
Reference foam	19.5	
Polymer powder	8	
Reference powder	18	

[0072] It will be appreciated that various of the above-discussed and other features and functions, or alternatives thereof, may be desirably combined
 into many other different systems or applications. Also that various presently unforeseen or unanticipated alternatives, modifications, variations or improvements therein may be subsequently made by those skilled in the art which are also intended to be encompassed by the following claims.